

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 587-30
First Inventor LEE ET AL.
Title METHOD FOR PACKAGING...
Express Mail Label No. EV333227592US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. ☒ Oath or Declaration [Total Pages 7]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
P. O. Box 1450
Arlington, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. CHECK FOR
17. ☒ Other: \$375.00 AND \$40.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below

Name ROCCO S. BARRESE, ESQ.
Address DILWORTH & BARRESE, LLP
333 Earle Ovington Blvd.
City Uniondale State New York Zip Code 11553
Country U.S.A Telephone (516) 228-8484 Fax (516) 228-8516

Name (Print/Type) GEORGE M. KAPLAN Registration No. (Attorney/Agent) 28,375
Signature *George M. Kaplan* Date JULY 15, 2003

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333227592US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: JULY 15, 2003

George M. Kaplan
GEORGE M. KAPLAN

19587 U.S. PTO
10/620204
07/15/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450- **MAIL STOP- PATENT APPLICATION**
Alexandria VA 22313-1450

UTILITY APPLICATION FEE TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Hyung Man Lee, Young Min Im, Myung Jin Kim,
Hwe Kyung Kim and Young IL Kim

For: METHOD OF PACKAGING OPTICAL PARTS FOR OPTICAL
COMMUNICATION

Enclosed are:

☒ 12 page(s) of specification

☒ 1 page(s) of Abstract

☒ 5 page(s) of claims

☒ 9 sheets of drawings ☒ formal ☐ informal
(FIGS 1, 2, 3(a-b), 4, 5(a-c), 6-8 and 9(a-d))

☒ 7 page(s) of Declaration and Power of Attorney

☒ Assignment of Invention to Korea Electronics Technology Institute

☒ Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date July 15, 2003, in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333227592US addressed to: Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, **MAIL STOP: PATENT APPLICATION**, Alexandria, VA 22313-1450


GEORGE M. KAPLAN

☐ This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S):

FILING DATE

/_____
/_____

☒ Certified copy of application(s)

<u>Country</u>	<u>Appln. No.</u>	<u>Filed</u>
Fed. Rep Korea	2002-0041180	July 15, 2002

from which priority under Title 35 United States Code, § 119 is claimed

☒ enclosed.

☐ will follow.

CALCULATION OF UTILITY APPLICATION FEE

For	Number Filed	Number Extra	Rate	Basic Fee
Total				\$ 750.00
Claims*	16	-20 = 0	x \$ 18.00	\$ 0 .00
Independent				
Claims	2	-3 = 0	x \$ 84.00	\$.00
Multiple	<input type="checkbox"/> yes	Add'l. Fee	\$280.00	\$
Dependent				
Claims	<input checked="" type="checkbox"/> no	Add'l. Fee	None	= \$
TOTAL				\$ 750.00

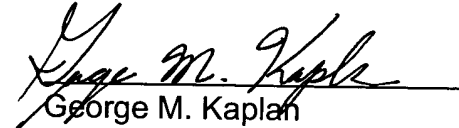
☒ Small Entity Status Is Claimed Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith **\$ 375.00**.

☒ The amount of \$40.00 for recording the attached Assignment is included in a separate check.

* includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- ☒ Checks in the amount of \$ 375.00 and \$40.00 to cover the
[x] recording, [X] filing fee(s) is attached.
- ☐ Charge fee to Deposit Account No. 04-1121. Order No.
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- ☒ Please charge any deficiency as well as any other fee(s)
which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during
the pendency of this application, or credit any overpayment of such fee(s) to
Deposit Account No. 04-1121. Also, in the event any extensions of time for
responding are required for the pending application(s), please treat this
paper as a petition to extend the time as required and charge Deposit
Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE
ENCLOSED.

Date: July 15, 2003


George M. Kaplan
Reg. No. 28,375

DILWORTH & BARRESE
333 Earle Ovington Blvd.
Uniondale, NY 11553
Tel. No. (516) 228-8484
Fax. (516) 228-8516